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Docket No.
061801/1061
SBP/IGD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **James P. Davidowitz, et al.**

Group Art Unit: **3624**

Application No.: **10/615,428**

Examiner: **Not Yet Known**

Filed: **July 7, 2003**

For: **METHOD AND SYSTEM FOR INITIATING PAIRS TRADING ACROSS
MULTIPLE MARKETS HAVING AUTOMATIC FOREIGN EXCHANGE
PRICE HEDGE**

Date: **March 18, 2005**

CERTIFICATE OF MAILING
BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

Mail Stop Amendment

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

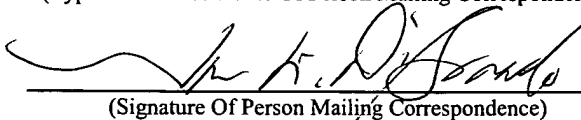
**Return Postcard; Information Disclosure Statement (2 pgs); PTO/SB/08A and 08B (2 pgs); and
International Search Report for PCT/US2003/21099**

is being deposited on **March 18, 2005** with the United States Postal Service
as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Mail Stop Amendment
Commissioner for Patents
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Alexandria, Virginia 22313-1450.

Ian G. DiBernardo

(Typed Or Printed Name Of Person Mailing Correspondence)


(Signature Of Person Mailing Correspondence)

Docket No.
061801/1061
SBP/IGD

IN THE UNITED STATES PATENT DESIGNATED/ELECTED OFFICE

Applicants: **James P. Davidowitz, et al.**

Group Art Unit: **3624**

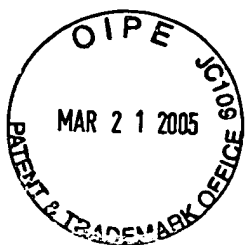
Application No.: **10/615,428**

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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08A and 08B.

The two references were cited in the International Search Report prepared during the international processing of International Application No. PCT/US03/21099, which is the counterpart to the instant application. For the Examiner's convenience, a copy of the International Search Report is submitted herewith, and the Examiner is respectfully directed thereto for a concise explanation of the relevance of these references.

In accordance with 37 CFR § 1.98(a)(2) (ii) as revised at 69 Fed. Reg. 56481, (September 21, 2004), copies of the cited U.S. patent application(s) and/or U.S. patent(s) have not been submitted.

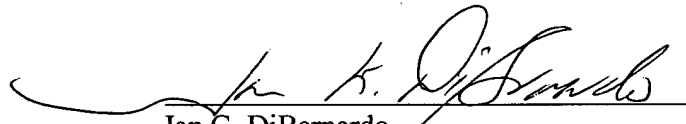
This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits.

Accordingly, no fee is believed to be due. Nevertheless, the Commissioner is authorized to charge any fees, which may now or hereafter be due in connection with this application to Deposit Account No. 19-4709, as necessary.

It is respectfully requested that the Examiner consider the above information and that a copy of the enclosed Form PTO/SB/08A and 08B be returned indicating that such information has been considered.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicants' attorney at the number listed below.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Ian G. DiBernardo", is written over a horizontal line.

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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

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Application Number

10/615,428

Filing Date

July 7, 2003

First Named Inventor

James P. Davidowitz

Art Unit

3624

Examiner Name

Not Yet Assigned

Attorney Docket Number

061801/1061

[illegible][illegible]

**Examiner
Signature**

Date _____

Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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(Use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number

10/615,428

Filing Date

July 7, 2003

First Named Inventor

James P. Davidowitz

Art Unit

3624

Examiner Name

Not Yet Assigned

Attorney Docket Number

061801/1061

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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